

Mechanisms of Physical-Layer Security

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Motivation

Secrecy metrics and examples

Information-Theoretic Secrecy Metrics

Perfect versus asymptotic secrecy

- ▶ Message $M \in [1, 2^{nR}]$ observed through Z^n
 - ▶ joint distribution p_{MZ^n}
- ▶ Perfect secrecy: M statistically independent of Z^n
 - ▶ distribution $p_{MZ^n} = p_M p_{Z^n}$
- ▶ Asymptotic perfect secrecy: M statistically independent of Z^n in the limit of n going to ∞

$$\lim_{n \rightarrow \infty} S_i(p_{MZ^n}, p_M p_{Z^n}) = 0$$

Information-Theoretic Secrecy Metrics

Choice of metrics

- ▶ Kullback-Leibler divergence

$$S_1(p_{MZ^n}, p_M p_{Z^n}) = \mathbb{D}(p_{MZ^n} \| p_M p_{Z^n})$$

$$S_4(p_{MZ^n}, p_M p_{Z^n}) = \frac{1}{n} \mathbb{D}(p_{MZ^n} \| p_M p_{Z^n})$$
- ▶ Variational distance

$$S_2(p_{MZ^n}, p_M p_{Z^n}) = \mathbb{V}(p_{MZ^n}, p_M p_{Z^n})$$

$$S_5(p_{MZ^n}, p_M p_{Z^n}) = \frac{1}{n} \mathbb{V}(p_{MZ^n}, p_M p_{Z^n})$$
- ▶ Probability of outage

$$S_3(p_{MZ^n}, p_M p_{Z^n}) = \mathbb{P}(I(M; Z^n) > \epsilon)$$

$$S_6(p_{MZ^n}, p_M p_{Z^n}) = \mathbb{P}\left(\frac{1}{n} I(M; Z^n) > \epsilon\right)$$

Information-Theoretic Secrecy Metrics

Not all metrics are equal

Ordering of secrecy metrics

$$S_1 \succcurlyeq S_2 \succcurlyeq S_3 \succcurlyeq S_4 \succcurlyeq S_5 \succcurlyeq S_6$$

[Bloch & Laneman, 2008]

Expectations

Coding mechanisms should ensure secrecy for all metrics
Fundamental limits should not depend on specific metric

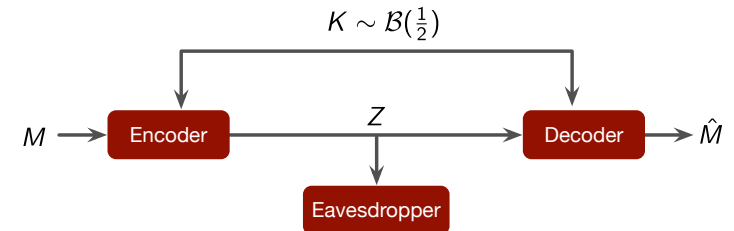
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Coding Mechanisms

Secure communication

- ▶ Shannon's cipher system



- ▶ One-time pad guarantees that all messages induce the same distribution :

$$\forall m \in \{-1, +1\}, p_{Z|M=m} = \text{cst}$$

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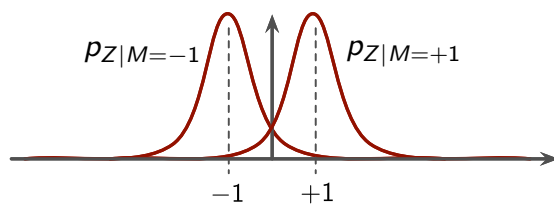
[Shannon 1949; Forney 2003]

Coding Mechanisms

Secure communication

- ▶ Transmission over noisy Gaussian channel

$$M \in \{-1, +1\} \quad Z = M + N \text{ with } N \sim \mathcal{N}(0, \sigma^2)$$



- ▶ Channel noise induces similar distributions
- ▶ Can we code using the same principle ?

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Coding Mechanisms

Secret-key distillation

- ▶ Extraction of secret bits from noisy observations

$$Z \in \{-1, +1\} \quad Z \sim \mathcal{B}(\frac{1}{2})$$

$$X = Z + N \text{ with } N \sim \mathcal{N}(0, \sigma^2)$$

$$X \rightarrow \boxed{\geq 0} \rightarrow K$$

- ▶ One can show $\mathbb{V}(p_{K|Z=\pm 1}, p_K) = \mathcal{O}(\sigma^{-3})$
- ▶ Possible to extract secrecy from noisy source
- ▶ Can we code using the same principle ?

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Goals of Talk

- ▶ Discuss coding mechanisms for secure communication over noisy channels
- ▶ Discuss coding mechanisms for secret-key distillation from noisy sources
- ▶ Get insight into the design of practical coding schemes

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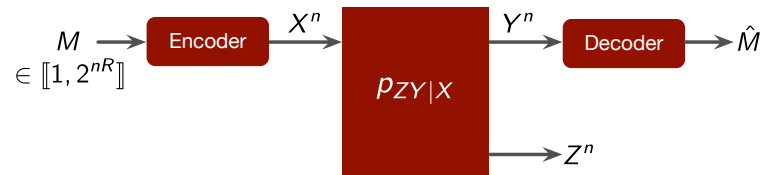
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Channel Resolvability

Coding for secure communication

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Wiretap Channel Model



- ▶ Reliability $P_e(C_n) = \mathbb{P}(M \neq \hat{M}|C_n)$
- ▶ Secrecy $S_2(C_n) = \mathbb{V}(p_{MZ^n|C_n}, p_{MZ^n|C_n})$
- ▶ R is achievable if $\lim_{n \rightarrow \infty} P_e(C_n) = \lim_{n \rightarrow \infty} S_2(C_n) = 0$
- ▶ Secrecy capacity $C_s^{WT} = \sup\{R : R \text{ is achievable}\}$

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[Wyner 1975; Csiszár & Körner 1978]

Secrecy from Resolvability

- ▶ How do we ensure secrecy ?

$$\mathbb{V}(p_{MZ^n|C_n}, p_{MZ^n|C_n}) \leq 2 \sum_m p_M(m) \mathbb{V}(p_{Z^n|M=m, C_n}, q_{Z^n})$$

- ▶ $p_{Z^n|M=m, C_n}$ distribution induced by message m
- ▶ q_{Z^n} “target distribution”

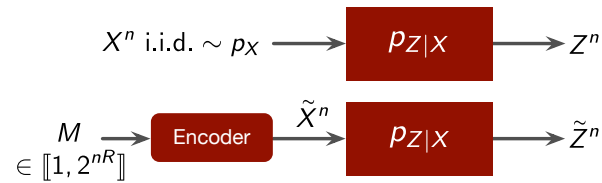
Sufficient condition for secrecy

All messages should induce the same distribution

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Channel Resolvability



- ▶ Simulation $\mathbb{V}(p_{Z^n}, p_{\tilde{Z}^n})$
- ▶ R is achievable if $\lim_{n \rightarrow \infty} \mathbb{V}(p_{Z^n}, p_{\tilde{Z}^n}) = 0$

Achievable rates

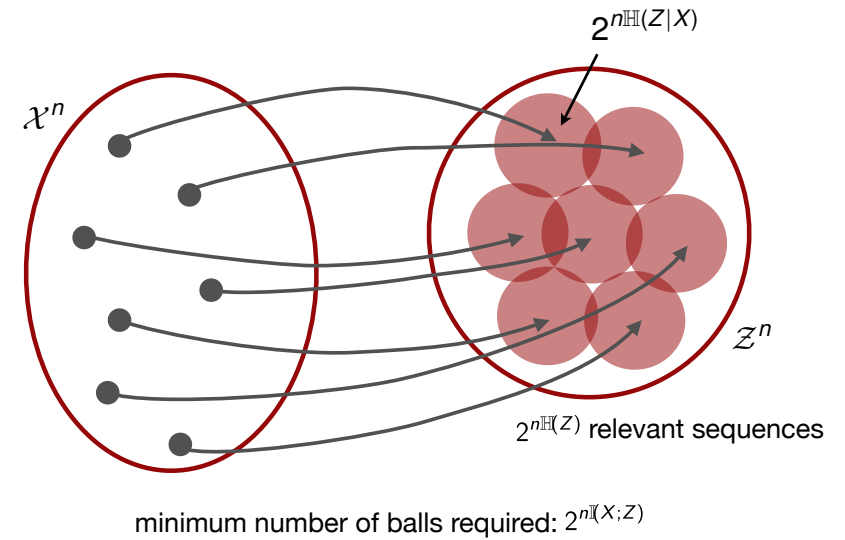
If $R > \mathbb{I}(X; Z)$, then R is achievable

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[Han & Verdu 1993]

Channel Resolvability

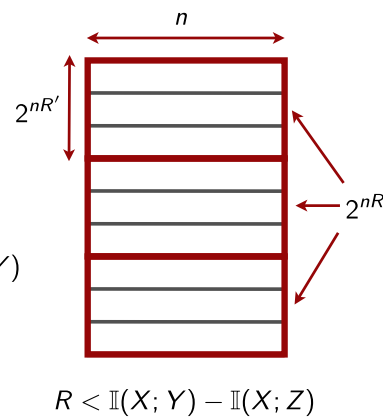


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Coding Structure of Wiretap Codes

- ▶ Binning structure
 - ▶ message index bin
 - ▶ codeword selected at random
- ▶ Intuitively
 - ▶ Few enough codewords to ensure reliability: $R + R' < \mathbb{I}(X; Y)$
 - ▶ Bins large enough to guarantee resolvability: $R' > \mathbb{I}(X; Z)$



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[Hayashi 2006, Bloch & Laneman 2008]

Capacity Versus Resolvability

- ▶ “Capacity-based” wiretap codes
 - ▶ Code structure based on capacity
 - ▶ Few enough codewords to ensure reliability
 - ▶ Bins are capacity achieving codes $R' = \mathbb{I}(X; Z) - \epsilon_n$

Resolvability is more powerful than capacity

- ▶ Random capacity-based codes cannot achieve strong secrecy capacity
- ▶ Random resolvability-based codes achieve strong secrecy capacity

[Bloch 2011; Luzzi & Bloch 2011]

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Take Aways

- ▶ Resolvability as coding mechanism for secure communication
- ▶ Coding operates with strong secrecy metrics
- ▶ Many applications
- ▶ Insight into strongly secure codes ?

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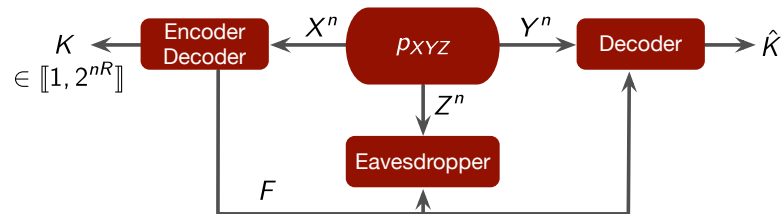
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Channel Intrinsic Randomness

Coding for secret-key distillation

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Secret-Key Distillation Model



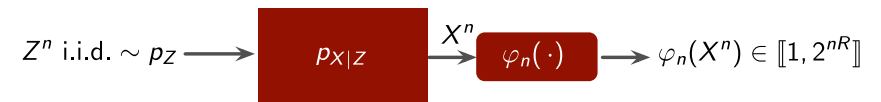
- ▶ Uniformity $U(S_n) = \mathbb{V}(p_K, p_U)$
- ▶ Reliability $P_e(S_n) = \mathbb{P}(K \neq \hat{K} | S_n)$
- ▶ Secrecy $S_2(S_n) = \mathbb{V}(p_{KZ^n F | S_n}, p_{K P Z^n F | S_n})$
- ▶ R is achievable if $\lim_{n \rightarrow \infty} P_e(S_n) = \lim_{n \rightarrow \infty} S_2(S_n) = \lim_{n \rightarrow \infty} U(S_n) = 0$

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[Maurer 1993; Ahlswede & Csiszár 1978]

Channel Intrinsic Randomness



- ▶ Simulation $\mathbb{V}(p_{\varphi_n(X^n)}, p_U)$
- ▶ Independence $\mathbb{V}(p_{\varphi_n(X^n)Z^n}, p_{\varphi(X^n)} p_{Z^n})$
- ▶ R is achievable if

$$\lim_{n \rightarrow \infty} \mathbb{V}(p_{\varphi_n(X^n)}, p_U) = \lim_{n \rightarrow \infty} \mathbb{V}(p_{\varphi_n(X^n)Z^n}, p_{\varphi(X^n)} p_{Z^n}) = 0$$

Channel intrinsic randomness

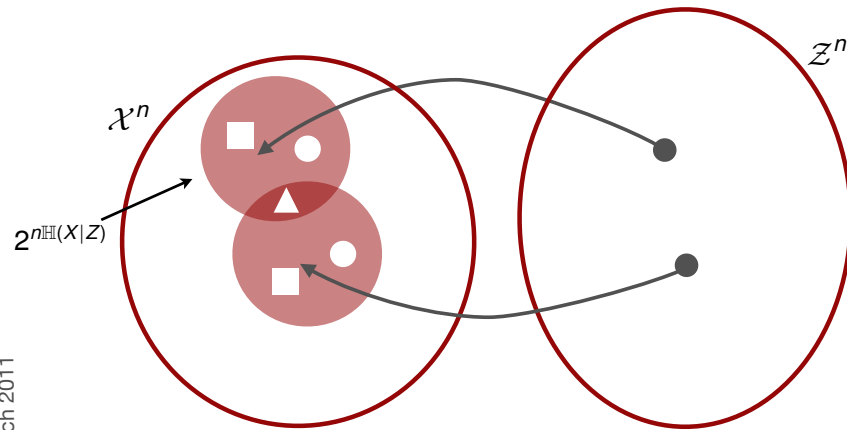
R is achievable if and only if $R < \mathbb{H}(X|Z)$

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[Csiszar, 1996, Bloch 2010]

Channel Intrinsic Randomness

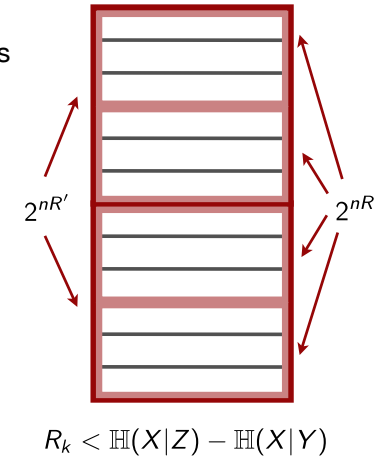


maximum number of bins allowed: $2^{n\mathbb{H}(X|Z)}$

Coding Structure of Key-Distillation Scheme

- ▶ Binning structure
 - ▶ observed sequences fall into bins
 - ▶ use bin index for public information and key

- ▶ Intuitively
 - ▶ enough bins to ensure reliability: $R' > \mathbb{H}(X|Y)$
 - ▶ bins small enough to guarantee intrinsic randomness: $R < \mathbb{H}(X|Z)$



Capacity Versus Intrinsic Randomness

- ▶ “Capacity-based” key-distillation strategies
 - ▶ Code structure based on capacity
 - ▶ Enough bins to ensure reliability
 - ▶ Bins are capacity achieving for source coding with side information $R' = \mathbb{H}(X|Z) + \epsilon_n$

Intrinsic randomness is more powerful than capacity

- ▶ No capacity-based key-distillation can achieve strong secrecy capacity
- ▶ Intrinsic randomness-based strategies can achieve strong secrecy (privacy amplification)

[Watanabe *et al.* 2009, Bennett *et al.* 1995]

Take Aways

- ▶ Intrinsic randomness as coding mechanism for secret-key distillation
- ▶ Fundamentally different from secure communications
- ▶ How existing key-distillation techniques operate (privacy amplification)

Conclusion

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Wrapping Up

- ▶ Channel Intrinsic Randomness as coding mechanism for secret-key distillation from noisy sources
- ▶ Channel Resolvability as coding mechanism for secure communication over noisy channels
- ▶ Powerful and (conceptually) simple information-theoretic tools
 - ▶ Applications to general settings
 - ▶ Guidelines for code design

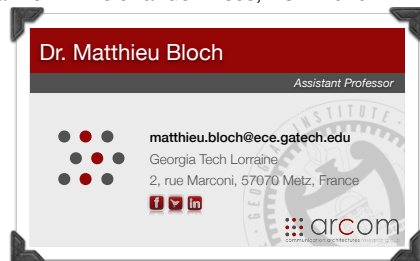
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Follow Up

▶ References

- ▶ Bloch & Laneman, "Secrecy from resolvability," on arXiv soon
- ▶ Pierrot & Bloch, "Strongly secure communication over the two-way wiretap channel," *IEEE Trans. Info. Forensics and Security*, 2011, arXiv:1010.0177
- ▶ Bloch, "Achieving secrecy: capacity vs. resolvability," *ISIT 2011*
- ▶ Luzzi & Bloch, "Capacity-based random codes cannot achieve strong secrecy over symmetric wiretap channels," *Securenets 2011*
- ▶ Bloch, "Channel intrinsic randomness," *ISIT 2010*



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